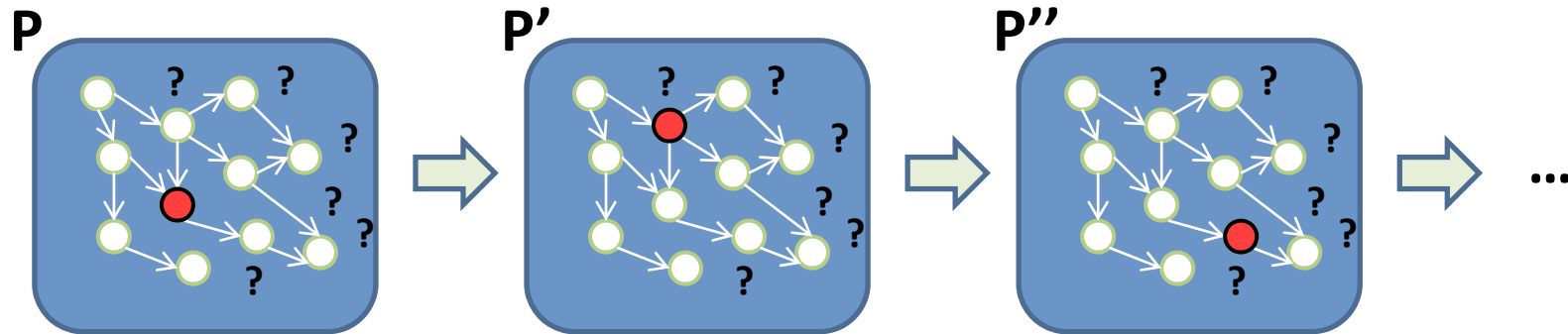


DIVER: Precise Dynamic Impact Analysis Using Dependence-based Trace Pruning

Haipeng Cai and Raul Santelices
U. of Notre Dame, U.S.A.



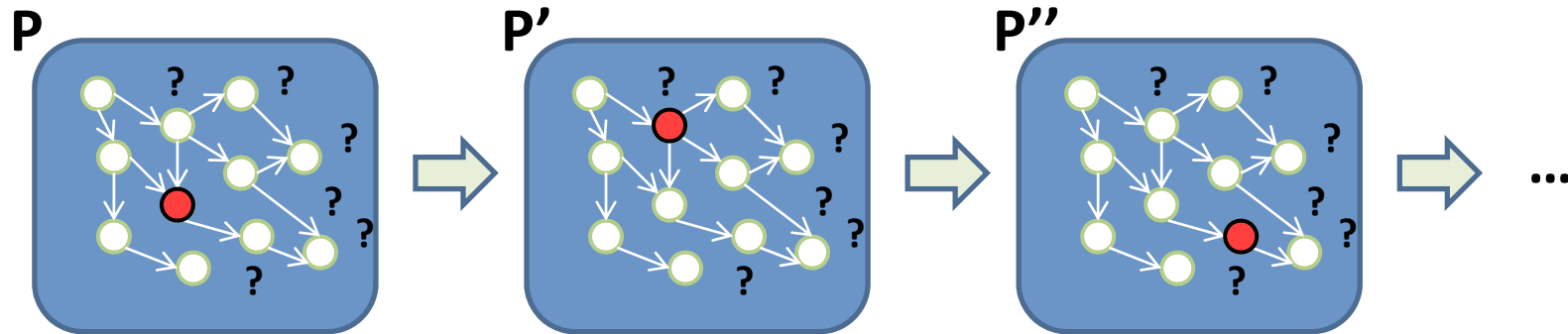
Problem



- Change-impact analysis (or, simply, **impact analysis**)
- Many types of impact analyses
 - Static, **dynamic**, hybrid, repository-based, information retrieval
 - Granularity: files, **methods**, statements
- **Dynamic and method-level**: scalable and representative of actual behavior



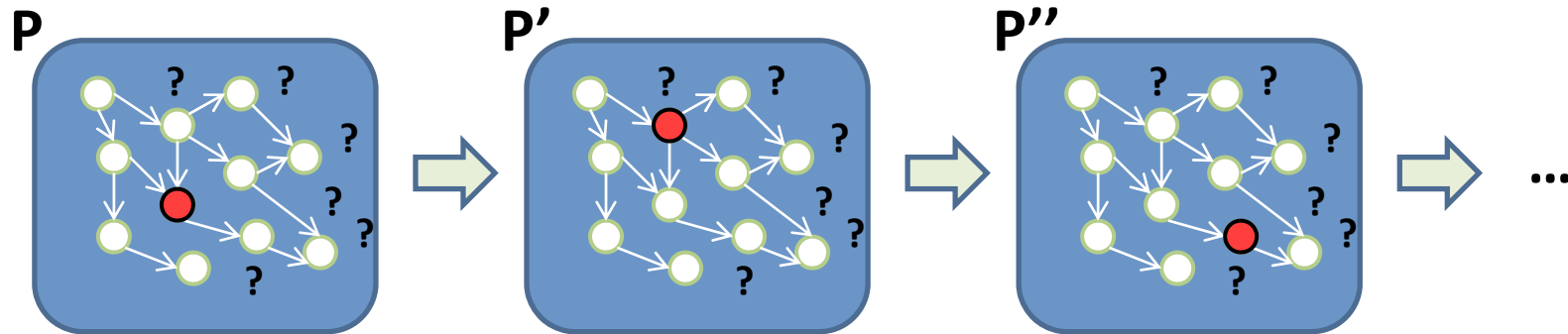
Dynamic Impact Analysis



- Forward dynamic slicing [\[Korel-Laski '88\]](#)
 - Statement level → **expensive** but precise
 - Would need to analyze all statements in method(s)
- Coverage based with static reachability [\[Orso et al. '03\]](#)
 - **Cheap** but imprecise [\[Orso et al. '04\]](#)



Dynamic Impact Analysis

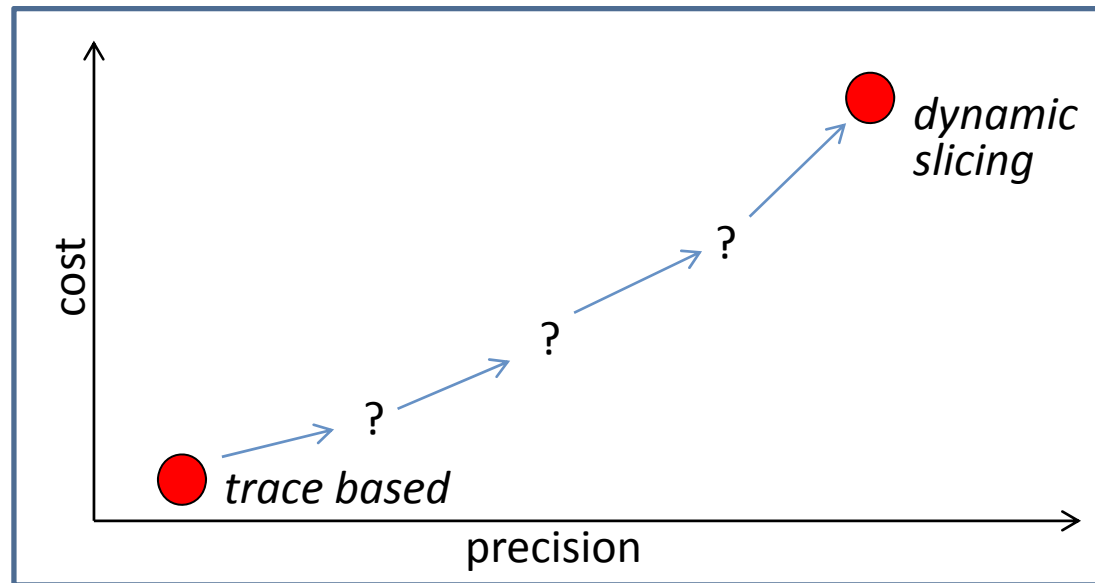


- Trace based [Law-Rothermel '03], control flow [Ren et al. '04]
 - More precise than coverage based [Orso et al. '04]
 - A **bit more expensive** after optimization [Apiwattanapong et al. '05]
- Trace based with influence mechanisms [Breech et al. '06]
 - Only marginally better, more expensive



Dynamic Impact Analysis

- Problem: trace-based technique is imprecise! [Cai et al. '14]
 - Large fraction of “impacted” methods not really impacted

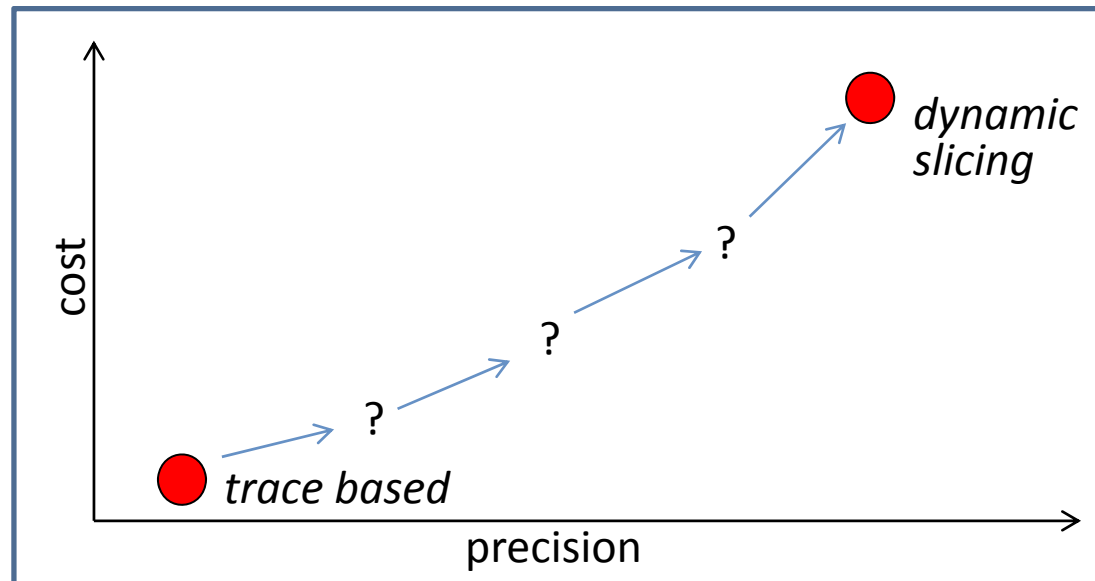


- Huge gap with dynamic slicing [Jiang et al. '14]
 - There is considerable room for intermediate solutions



Dynamic Impact Analysis

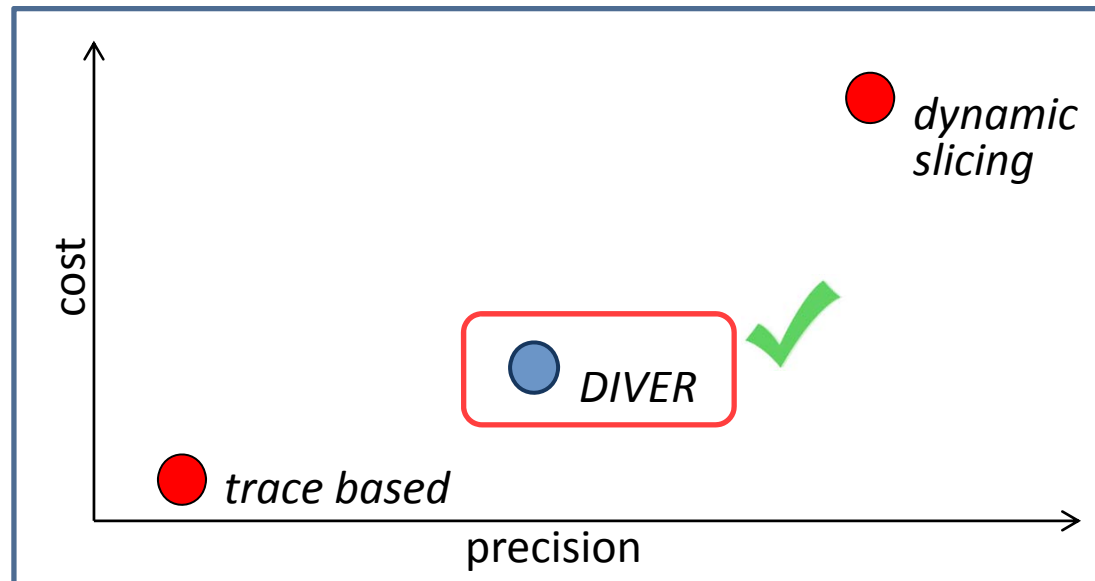
- What is missing from trace-based?
 - Data & control **dependencies** not considered (only control flow)
 - Cost is a concern → need **method-level** dependencies





Dynamic Impact Analysis

- What is missing from trace-based?
 - Data & control **dependencies** not considered (only control flow)
 - Cost is a concern → need **method-level** dependencies

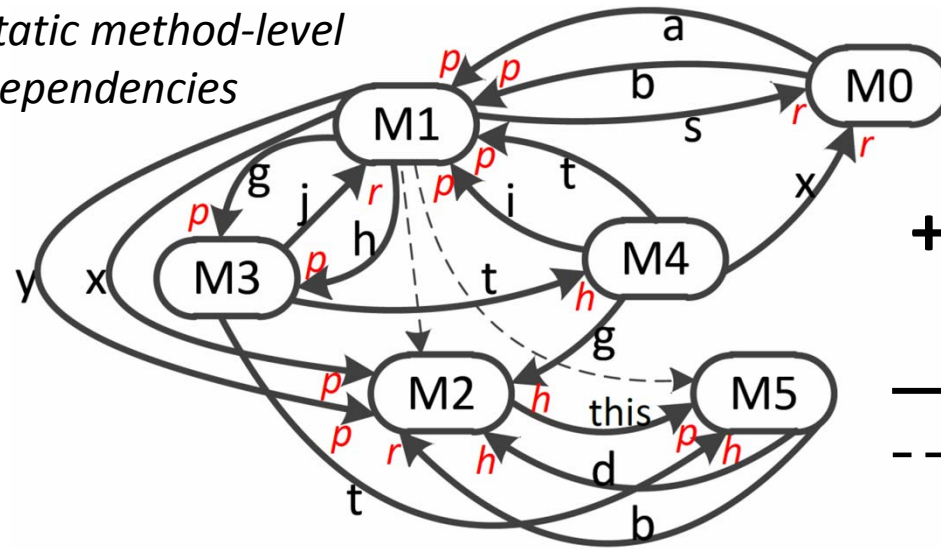


- Solution: one-time static dependence analysis to prune method traces → **DIVER**



DIVER

static method-level dependencies



+ **map** method \rightarrow entry dependence \rightarrow exit dependence

\longrightarrow data dependence *p*: parameter
 \dashrightarrow control dependence *r*: return value
 h: heap variable

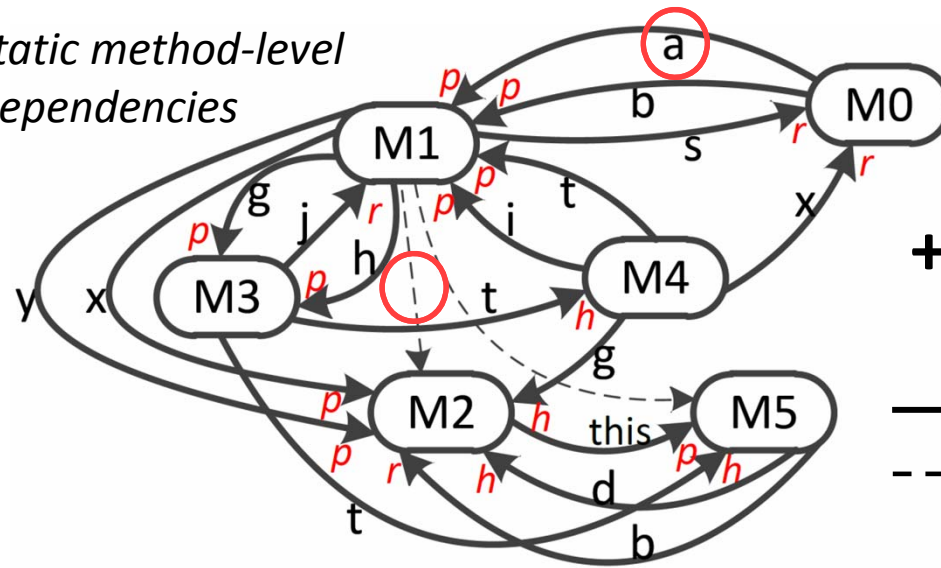
- Example trace: M0 M1 **M2** M5 r_{M5} r_{M2} M3 r_{M3} r_{M1} M4 r_{M4} r_{M0}
- Trace-based impact set of **M2**: {M0, M1, M2, **M3**, **M4**, M5}
 - All methods called or returned into after M2
- DIVER impact set of **M2**: {M2, M5} (just **two** methods)
 - Down from **six** methods when using just traces (control flow)

definitely not dependent!



DIVER

static method-level dependencies



+ map method \rightarrow entry dependence \rightarrow exit dependence

\longrightarrow data dependence *p*: parameter
 \dashrightarrow control dependence *r*: return value
 h: heap variable

- Step 1: statically identify escaping variables and conditional call sites
- Step 2: collect compressed method trace(s)
- Step 3: traverse trace(s) using rules to prune **non-dependent** methods
 - Ex: M2 can impact only M5
 - Ex: M0 impacts M1 only if M1 occurs immediately after
 - Also: keep track of which dependencies carry an impact



Evaluation (latest!)

- 7 Java applications
 - Up from 4 in paper
- Open-source toolset*
- All executed methods
 - Impact set for each using trace-based and Diver

Subject	KLOC	Methods	Tests
schedule	0.3	20	2,650
nanoxml	3.5	172	214
ant	18.8	607	112
xml-sec.	22.4	632	92
jmeter	35.5	732	79
jaba	37.9	1,129	70
argouml	102.4	1,098	211

* <http://nd.edu/~hcai/diver> and <http://nd.edu/~rsanteli/duaf> [Santelices et al. '13]



Results (latest!)

Average
impact set
sizes

Average
size ratios

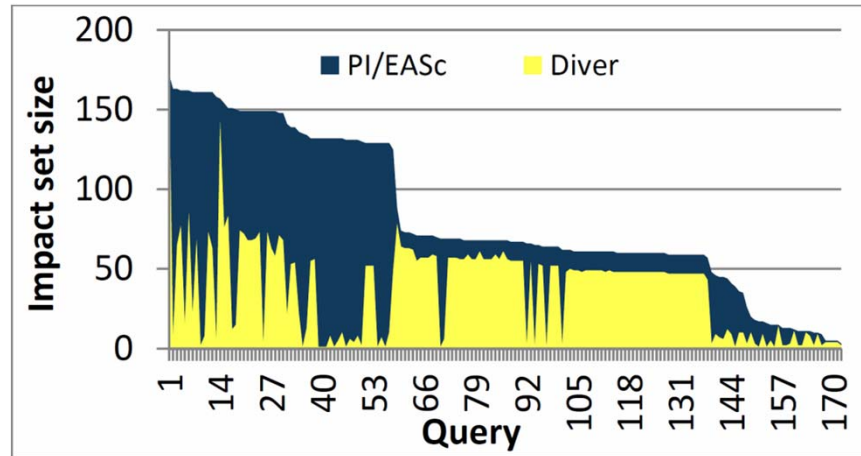
<i>average impact-set size</i>				
Subject	Methods	Trace based	DIVER	Ratio
schedule	20	18.0	12.8	71.3%
nanoxml	172	82.6	37.1	51.7%
ant	607	159.5	17.9	25.7%
xml-sec.	632	199.8	45.1	28.8%
jmeter	732	149.6	12.3	18.8%
jaba	1,129	677.0	471.9	66.9%
argouml	1,098	151.0	27.6	31.5%
<i>average:</i>		<i>291.4</i>	<i>141.4</i>	<i>38.3%</i>



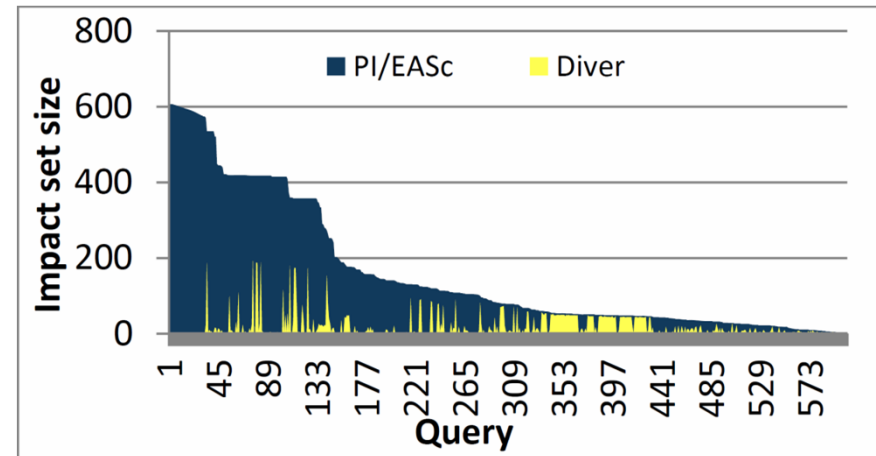
Results (latest!)

PI/EASc = trace based

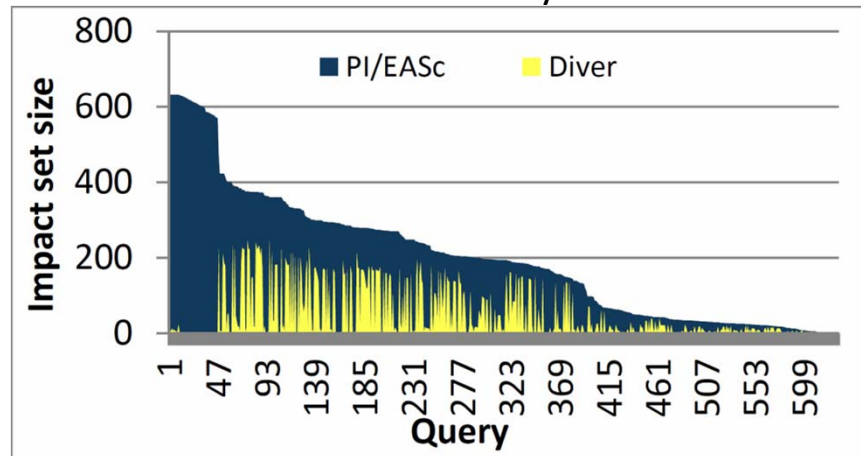
NanoXML



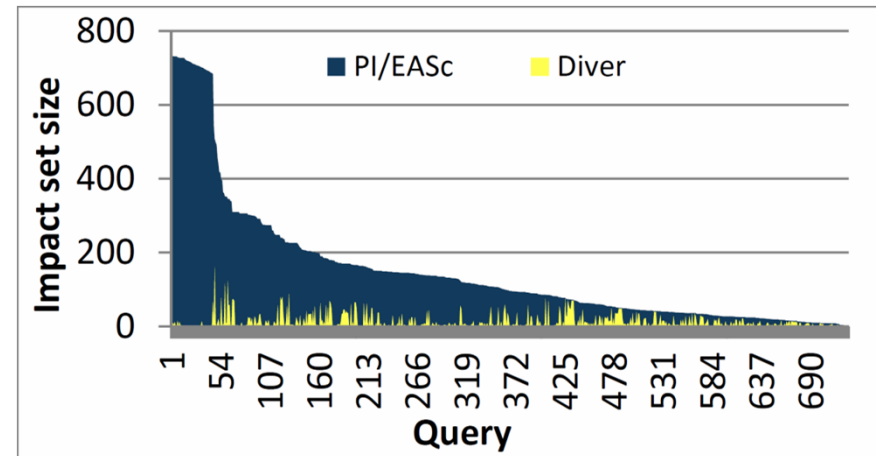
Ant



XML-Security



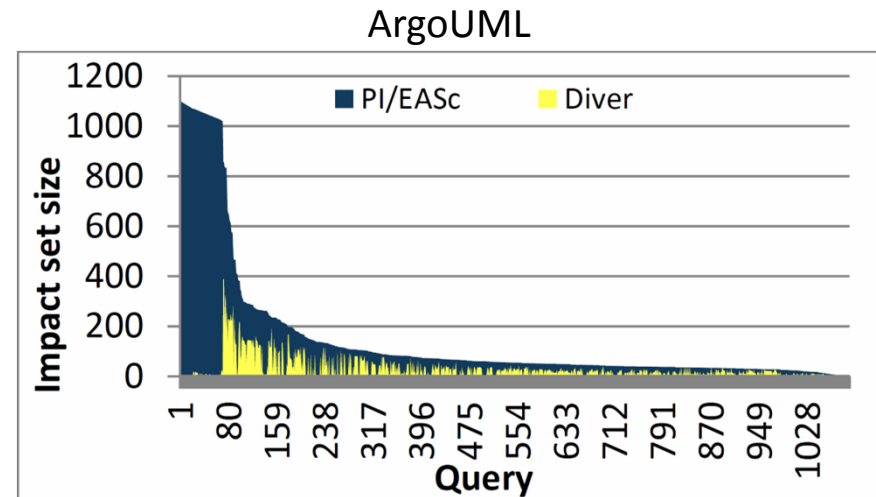
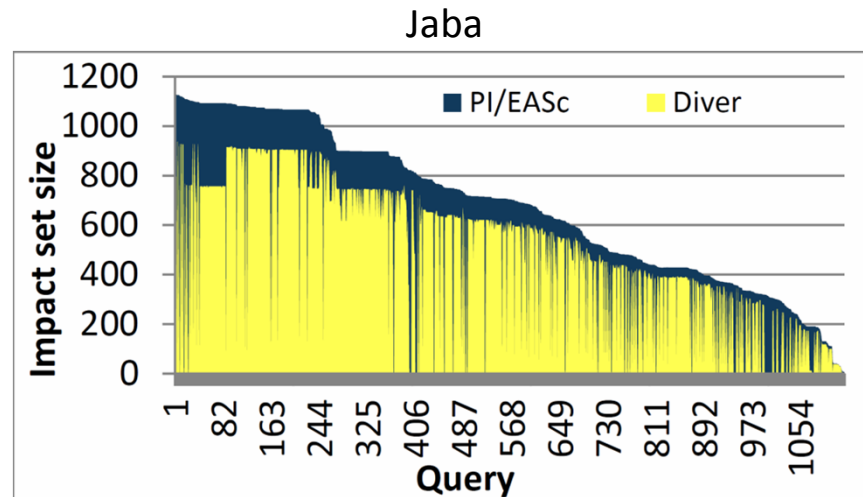
JMeter





Results (latest!)

PI/EASc = trace based



- Costs of DIVER

- Step 1: average 2K seconds per subject (one-time analysis)
 - ≤ 41 MB dependence information
- Step 2: average 11.6 seconds (vs 8.6 sec. trace based)
 - ≤ 15 MB compressed traces
- Step 3: average **26.4** sec/query (vs 0.1 sec/query trace based)

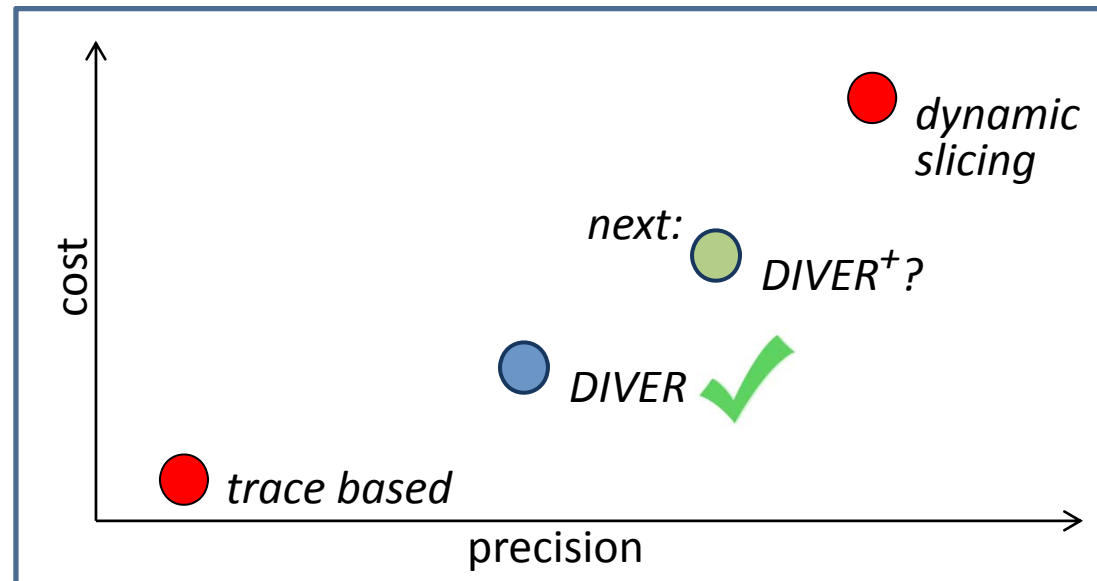
parallelizable!



Conclusion

Questions?

- Huge cost-precision gap in previous techniques
 - New idea: method-level dependencies (DIVER)



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